

## Listing

Volume in drive F has no label  
Directory of F:\Firmdocs\DGR\Cognitek

<DIR>	12-18-01 11:22a .
<DIR>	12-18-01 11:22a ..
ENHANC-1 ZIP	23,581 12-19-01 9:19a Enhanced Heat Transfer P
olymer.ZIP	
ENHANC-1 DOC	97,280 12-06-01 3:32p Enhanced Heat Transfer P
olymer Patent.doc	
ENHANC-2 DOC	96,768 12-19-01 10:34p Enhanced Heat Transfer P
olymer Patent DGR Rv1.doc	
REFRIG-1 DOC	117,760 12-18-01 5:23a Refrigerant Heat Transfe
r CIP Filing Final.doc	
REFRIG-2 DOC	32,256 12-18-01 5:23a Refrigerant Office Actio
n - 1.doc	
5 file(s)	367,645 bytes
2 dir(s)	4,909.25 MB free

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FEB 25 2002

PETITIONS OFFICE

ROSENBAUM EXHIBIT 1

USPS - Track &amp; Confirm

Page 1 of 1



USPS.com

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## Shipping center *Track & Confirm*

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[Delivery Confirmation](#)  
[Signature Confirmation](#)

Keyword/Search

### Shipment History

You entered EL41 2126 401U S

Your item arrived at 10:53 am on December 26, 2001 in ZIP Code 20098. No further information is available for this item.

Here is what happened earlier:

- ENROUTE, December 20, 2001, 3:18 am
- ACCEPTANCE, December 20, 2001, 12:23 am, CHICAGO, IL 60607

[Request Delivery Record >](#)

### Track & Confirm

Enter number from shipping receipt in the field below:



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RECEPTIONS OFFICE

ROSENBAUM EXHIBIT 2



**Enter an address. The standardized address and ZIP+4 Code will be returned.**

\_\_\_\_\_

\_\_\_\_\_

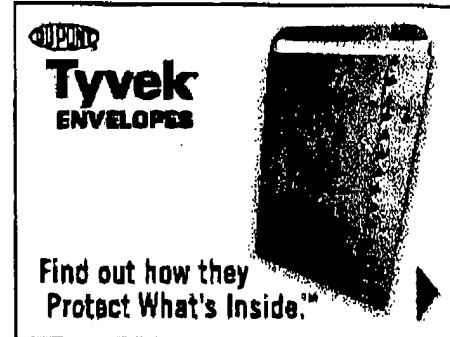
\_\_\_\_\_

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## State Abbreviation List

20096

\_\_\_\_\_



**Find the ZIP Codes for a city or town or the location of a given ZIP Code on our [City/State/ZIP Code Associations](#) page.**

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**ROSENBAUM EXHIBIT 3**

## ZIP+4 Code Lookup

Page 1 of 1

## ZIP+4 Code Lookup

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*Version 5.0 Database 01/2002*

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Developed by the USPS National Customer Support Center*



Date: 01/24/2002

Fax Transmission To: DAVID ROSENBAUM  
Fax Number: 312-397-0301

Dear DAVID ROSENBAUM:

In response to your request dated 01/24/2002, we regret to inform you that we were unable to locate any delivery information in our records regarding your item number EL412126401US.

If you require additional assistance, please take this receipt to your local Post Office or postal representative.

Sincerely,

United States Postal Service  
Received Time Jan. 24. 5:33PM

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FEB 25 2002

PETITIONS OFFICE

ROSENBAUM EXHIBIT 4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: P. Bonsignore and M. Gurin  
SERIAL NO.: 10/027,387  
FILING DATE: December 20, 2001  
TITLE: COMPOSITION FOR ENHANCING THERMAL CONDUCTIVITY OF  
A HEAT TRANSFER MEDIUM AND METHOD OF USE THEREOF  
ATTORNEY DKT NO.: 6037-001

Assist. Commissioner for Patents  
BOX PETITIONS - FILING DATE  
Washington, D.C. 20231

**FAX RECEIVED**

**FEB 25 2002**


**PETITIONS OFFICE**

**DECLARATION OF LORI DUNHAM  
IN SUPPORT OF CONSOLIDATED PETITIONS UNDER 37 CFR §1.183 TO WAIVE  
CERTAIN EXPRESS MAIL FILING PROCEDURES UNDER 37 CFR §1.10 AND  
PETITION FOR CORRECTED FILING DATE PURSUANT TO CFR §1.10**

1. I am employed as a paralegal at the law office of Rosenbaum & Associates, P.C. I assisted David G. Rosenbaum, the attorney of record in the above-identified patent application, in the filing of the above-identified patent application.
2. On December 19, 2001, Mr. Rosenbaum provided me with a final version of the above-identified patent application at approximately 10:34 p.m for completion of all patent application correspondence. I finalized the patent application correspondence, including the patent application transmittal documents, return receipt post card and filing fee at approximately 10:41 p.m. as represented by the time stamp on the directory listing for file PH00GE.dbt, which is the data file for the firm's PTO form program "IP Legal Forms" that corresponds to Matter No. 6037-001 prepared on December 19, 2001, and which is attached hereto as Exhibit 1.

3. After completion of all patent correspondence, I provided the documents to Mr. Rosenbaum for review and signature.
4. After reviewing and signing all documents, Mr. Rosenbaum returned all documents to me for completion.
5. I then photocopied all necessary documents, verified each document was in place and correctly identified on the return receipt postcard, placed the documents into separate envelopes and placed the correct amount of postage on each package. I completed the Express Mail filing packages for both the above-referenced patent application and a corresponding PCT International Application at approximately 11:30 p.m. and gave both packages to Mr. Rosenbaum to be transported to the U.S. Post Office at 433 West Harrison in downtown Chicago, Illinois.
6. Mr. Rosenbaum left the offices of Rosenbaum & Associates, P.C., accompanied by Ms. Laura Molter at 11:30pm.
7. On January 14, 2002, the Return Receipt postcard, a copy of which is attached hereto as Exhibit 2, was received in the office of Rosenbaum & Associates, P.C. The postcard indicated that the application had been accorded a filing date of December 20, 2001. I brought this to the attention of Mr. Rosenbaum.
8. As of January 14, 2002, we had not received the sender's copy of the Express Mail mailing label from the U.S. Postal Service, therefore, I tracked Express Mail Label No. EL 412126401US with the USPS web site [www.usps.gov](http://www.usps.gov) and ascertained that the subject patent application was accepted at 12:23 a.m. on December 20, 2001 at Chicago, IL 60607 and arrived on December 26, 2001 at ZIP code 20096. I noted that this information appeared inaccurate as the Express Mail was addressed to the Commissioner at ZIP code 20231.

9. Mr. Rosenbaum checked the U.S. Post Office web site which indicates that there is no assigned zip code 20096, which is listed as the delivery address of the subject patent application.
10. After waiting for the USPS records to be correctly updated, on January 24, 2002, Mr. Rosenbaum ordered an official receipt from the USPS via its web site www.usps.gov which was delivered via facsimile on the same date. The official receipt indicates that the USPS was unable to locate any delivery information in their records corresponding to Item Number EL412126401US.
11. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

  
Lori Dunham



## Listing

PCTRQ3	FRP	253,952	04-07-01	10:23a	PCTRQ3.FRP
PCTRQ4	FRP	6,777	03-31-01	9:44a	PCTRQ4.FRP
PCTRQ5	FRP	28,720	03-31-01	10:01a	PCTRQ5.FRP
PCTRQ6	FRP	4,302	03-31-01	10:02a	Pctrq6.frp
PCTRQ7	FRP	4,429	03-31-01	10:09a	Pctrq7.frp
PCTRQ8	FRP	4,496	03-31-01	10:10a	Pctrq8.frp
PCTRQ9	FRP	14,901	03-31-01	10:12a	Pctrq9.frp
PCTUS1	FRP	58,845	09-24-01	10:16a	PCTUS1.FRP
PCTUS2	FRP	31,992	07-12-98	8:45p	PCTUS2.FRP
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PCU00GH	FDS	945	01-21-02	1:11p	PCU00GH.FDS
PDI00FS	DBT	24	07-02-01	5:16p	PDI00FS.DBT
PDI00FS	FDS	945	07-02-01	5:16p	PDI00FS.FDS
PDN00GH	DBF	2,500	01-21-02	1:40p	PDN00GH.DBF
PDN00GH	FDS	945	01-21-02	1:12p	PDN00GH.FDS
PDN00GI	DBF	2,500	01-21-02	2:21p	PDN00GI.DBF
PDN00GI	FDS	945	01-21-02	2:18p	PDN00GI.FDS
PEF	CSV	613	09-21-01	4:13p	PEF.CSV
PEXF	CSV	421	09-21-01	2:15p	PEXF.CSV
PFF	CSV	1,143	09-21-01	1:43p	PFF.CSV
PGI00GC	FDS	945	12-13-01	5:45p	PGI00GC.FDS
PH00G7	DBF	5,444	11-27-01	4:10p	PH00G7.DBF
PH00G7	DBT	1,704	11-27-01	4:10p	PH00G7.DBT
PH00G7	FDS	945	11-21-01	6:35p	PH00G7.FDS
PH00G8	DBT	24	12-04-01	2:54p	PH00G8.DBT
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PH00GE	DBF	5,444	01-24-02	7:30p	PH00GE.DBF
PH00GE	DBT	1,588	12-19-01	10:41p	PH00GE.DBT
PH00GE	FDS	945	12-19-01	6:30p	PH00GE.FDS
PI00G8	DBF	10,737	12-04-01	3:05p	PI00G8.DBF
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PI00G8	FDS	945	12-04-01	2:54p	PI00G8.FDS
PI00GE	DBT	24	12-19-01	6:41p	PI00GE.DBT
PI00GE	FDS	945	12-19-01	6:41p	PI00GE.FDS
PIF	CSV	311	09-21-01	1:50p	PIF.CSV
PINDEX	FRP	92,407	07-12-98	7:04p	PINDEX.FRP
PK00GC	DBT	24	12-13-01	5:46p	PK00GC.DBT
PK00GC	FDS	945	12-13-01	5:46p	PK00GC.FDS
PL00GC	FDS	945	12-13-01	5:40p	PL00GC.FDS
PMF	CSV	422	09-21-01	2:02p	PMF.CSV
PPF	CSV	823	09-21-01	2:44p	PPF.CSV
PRIMARY	FDS	945	01-21-96	2:29p	PRIMARY.FDS
PRNT	DAT	1	05-10-00	11:01a	PRNT.DAT
PRTFIX1	EXE	5,344	07-10-96	9:40a	PRTFIX1.EXE
PRTFIX2	EXE	5,344	07-10-96	9:42a	PRTFIX2.EXE
PRTFIX3	EXE	5,344	07-10-96	9:45a	PRTFIX3.EXE
PSF	CSV	2,355	09-21-01	4:07p	PSF.CSV
PX00FZ	DBF	2,879	09-05-01	2:50p	PX00FZ.DBF

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FEB 25 2002

PETITIONS OFFICE

DUNHAM EXHIBIT 1

**PLEASE ACKNOWLEDGE RECEIPT OF:**

Check in the amount of \$424.00

Certificate of Express Mail (1 page)

Utility patent application transmittal (4 pages in triplicate)

Utility patent application, including 21 pages of

specification, 4 pages of claims and 1 page of Abstract

APPLICANT: P. Bousignore and M. Guin

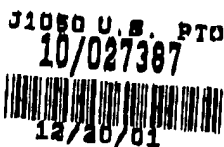
TITLE: Composition for Enhancing Thermal Conductivity  
of a Heat Transfer Medium and Method of Use Thereof

12/19/01

DGR

6032-004

EXPRESS MAILING LABEL NO. EL 412 126 401 US



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FEB 25 2002

ENTIREMENTS OFFICE

DUNHAM EXHIBIT 2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** P. B. nsign re and M. Gurin  
**SERIAL NO.:** 10/027,387  
**FILING DATE:** December 20, 2001  
**TITLE:** COMPOSITION FOR ENHANCING THERMAL CONDUCTIVITY OF  
A HEAT TRANSFER MEDIUM AND METHOD OF USE THEREOF  
**ATTORNEY DKT NO.:** 6037-001

Assist. Commissioner for Patents  
BOX PETITIONS - FILING DATE  
Washington, D.C. 20231

**FAX RECEIVED****FEB 25 2002****PETITIONS OFFICE**

**DECLARATION OF LAURA MOLTER**  
**IN SUPPORT OF CONSOLIDATED PETITIONS UNDER 37 CFR §1.183 TO WAIVE**  
**CERTAIN EXPRESS MAIL FILING PROCEDURES UNDER 37 CFR §1.10 AND**  
**PETITION FOR CORRECTED FILING DATE PURSUANT TO CFR §1.10**

1. I am employed as the Office Manager at the law office of Rosenbaum & Associates, P.C.
2. I witnessed David G. Rosenbaum, the attorney of record in the above-identified patent application, in the filing of the above-identified patent application.
3. On December 19, 2001, I departed the offices of Rosenbaum & Associates, P.C. accompanied by Mr. Rosenbaum and drove to the United States Post Office at 433 West Harrison in Chicago, Illinois. The distance from the office to the U.S. Post Office is approximately 2.5 miles and traverses downtown Chicago.
4. Mr. Rosenbaum and I arrived at the U.S. Post Office at approximately 11:45 p.m. and Mr. Rosenbaum proceeded inside the Post Office, while I remained in the car.
5. Upon his return to the vehicle, Mr. Rosenbaum related that there had been an extremely long line of approximately 20 -25 people with Holiday packages and

that it had been impossible for him to deposit the Express Mail packages with a postal employee prior to 11:59pm and that he had, therefore, deposited both Express Mail packages in an Express Mail drop box. Mr. Rosenbaum further noted that the time of his deposit in the drop box was 11:51pm. according to his wristwatch.

6. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



Laura A. Motter

25703 308 6916

\*\*\*\*\*  
\*\*\* RX REPORT \*\*\*  
\*\*\*\*\*

RECEPTION OK

TX/RX NO	9878
CONNECTION TEL	
CONNECTION ID	
START TIME	02/22(FR)20:12
USAGE TIME	06'39"
PGS.	23
RESULT	OK